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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n e application of: Junichi KINOSHITA Serial No: Not assigned

Filed: March 27, 2001

For: WAVEGUIDE OPTICAL DEVICE

Box PATENT APPLICATION Commissioner for Patents Washington, D.C. 20231

Dear Sir:

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**CORRESPONDENCE ADDRESS:** 

Customer Number

000026021



| 26021                 |   |
|-----------------------|---|
| TENT_TRADEMARK OFFICE |   |
| 4/                    | _ |

|     | Transmitted herewith for filing is the patent application identified above.                                     | 26          |
|-----|---|-------------|
|     | $oxed{\boxtimes}$ 8 sheet(s) of drawings ( $oxed{\boxtimes}$ formal $oxed{\square}$ informal) is(are) enclosed. | PATENT .T   |
| ₽.; | IN 45 page(a) of encoingstion and 1 page(s) of abstract of the invention are enclose                            | d           |
| Ū   | An assignment of the invention to KABUSHIKI KAISHA TOSHIBA Sis enclosed   | will follow |
| Ø   | An associate power of attorney [ ] is enclosed [ ] will follow.   |             |
| H   | Applicant claims small entity status. See 37 CFR 1.27.  |             |
| ø   | Declaration and Power of Attorney \( \square\) is enclosed \( \square\) will follow.                            |             |

A certified copy of <u>Japanese</u> Patent Application No. <u>2000-089338</u> filed <u>March 28, 2000</u> from which priority is claimed under 35 U.S.C. § 119 is enclosed.

IDS enclosed ( with reference(s)).

Preliminary Amendment is enclosed.

Application data sheet is enclosed. See 37 CFR 1.76.

Return postcard is enclosed.

|          |  | C   | ALCULAT | ION OF FEES |                        |      |           |        |                  |
|----------|--|---|---------|-------------|------------------------|------|-----------|--------|------------------|
| ITEM     |  | TOTAL NO. OF<br>CLAIMS                                      |         |             | LG/SM<br>\$ ENTITY FEE |      | \$ AMOUNT | \$ FEE |                  |
| Α        | TOTAL CLAIMS FE E  | 18  | -20     | 0           | LG=\$18<br>SM=\$9      | \$18 | 0         |        | 5                |
| В        | INDEPENDENT CLAIMS FEE*  | 1   | -3      | 0           | LG=\$80<br>SM=\$40     | \$80 | 0         |        | al .<br>Movement |
| C        | SUBTOTAL - ADDITIONAL CLAIN  | - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B) 0 |         |             |                        |      |           |        |                  |
| D        | LARGE ENTITY FEE = \$270  MULTIPLE-DEPENDENT CLAIMS FEE SMALL ENTITY FEE = \$135 |   |         |             |                        |      |           | \$ 0   |                  |
| E        | LARGE ENTITY FEE = \$710   BASIC FEE   |   |         |             |                        |      | \$        | 710.00 |                  |
| F        | TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)                              |   |         |             |                        |      |           | \$     | 710.00           |
| G        | \$ 40  |   |         |             |                        |      | \$        | 40     |                  |
| <u> </u> | *LIST INDEPENDENT CLAIMS   |   |         |             |                        |      |           |        |                  |

☐ Please charge my Deposit Account No. 50-1314 the amount of \$\_0\_. A copy of this letter is enclosed.

A check in the amount of \$\_710.00 to cover the filing fee is enclosed.

A check in the amount of \$\_40.00\_ to cover Assignment Recordation fee is enclosed.

The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.

Any additional filing fees required under 37 C.F.R. 1.16

Any patent application processing fees under 37 C.F.R. 1.17

HOGAN

By:

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re application of:         | Art Unit: | Not assigned |
|-------------------------------|-----------|--------------|
| Junichi KINOSHITA             | Examiner: | Not assigned |
| Serial No: Not assigned       |           |              |
| Filed: March 27, 2001         |           |              |
| For: WAVEGUIDE OPTICAL DEVICE |           |              |

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Dear Sir:

I hereby certify that

- two copies of a letter of transmittal
- □ Check in amount of \$ 710.00 as filing fee
- patent application ( 15 page(s) of specification; 18 claim(s); 1 page(s) of abstract
- executed Declaration and Power of Attorney
- assignment of the invention to KABUSHIKI KAISHA TOSHIBA
- certified copy of <u>Japanese</u> patent application No. <u>2000-089338</u> which was filed <u>March 28, 2000</u> from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119
- in return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

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Date: March 27, 2001

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Name of person mailing papers

Signature